

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.:		ART UNIT:	2171
APPLICANT:	Michael D. Hitchcock et al.	EXAMINER:	Sanjiv Shah
FILING DATE:	November 14, 2003		
TITLE:	“Universal Forms Engine”		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is PTO Form 1449 (one sheet) with references listed for consideration by the Office.


All references were cited in US Patent Application No.: 10/673,674 from which the present application claims priority and additional copies are therefore not provided.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: 14 Nov 2003

By: 
Michael O. Scheinberg
Patent Reg. 36,919
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Austin, Texas 78716-4140
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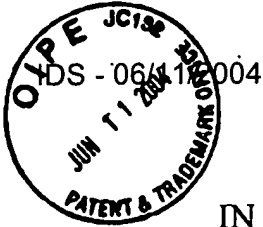
CERTIFICATE OF EXPRESS MAIL

I hereby certify that this paper or fee is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee," Mailing No.: ET 528148892 US, under 37 CFR 1.10, on the 14th day of November, 2003, and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Margarita Escalona Márquez
Name: Margarita Escalona-Márquez

INFORMATION DISCLOSURE STATEMENT BY APPLICANT					ATTY. DOCKET NO. C071		SERIAL NO. 	
APPLICANTS Michael D. Hitchcock, James H. Wolfston, Jr., John W. Stedman, Andree J. Hertz and Raymond L. Price					FILING DATE		GROUP ART UNIT	
U.S. PATENT DOCUMENTS								
*Examiner Initial	Cite No.	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)	
JB	A	5,404,294	04/04/1995	Kamik	707	507		
JB	B	5,450,537	09/12/1995	Hirai et al.	707	507		
JB	C	5,489,213	02/02/1996	Makipaa	434	322		
JB	D	5,535,321	07/09/1996	Massaro et al.	345	337		
JB	E	5,572,643	11/05/1996	Judson	709	218		
JB	F	5,640,577	06/17/1997	Scharmer	707	507		
JB	G	5,701,451	12/23/1997	Rogers et al.	707	1		
JB	H	5,706,434	01/06/1998	Kremen et al.	709	218		
JB	I	5,710,883	01/20/1998	Hong et al.	382	228		
JB	K	5,710,918	01/20/1998	Lagarde et al.	707	10		
JB	L	5,737,592	04/07/1998	Nguyen et al.	707	4		
JB	M	5,774,887	06/30/1998	Wolff et al.	707	1		
JB	N	6,026,187	02/15/2000	Siegel	382	213		
JB	O	6,192,380	02/20/2001	Light et al.	707	505		
JB	P	6,199,079	03/06/2001	Gupta et al.	707	507		
JB	Q	6,288,753 B1	09/11/2001	DeNicola et al.	348	586		
JB	R	6,345,278	02/2002	Hitchcock et al.	707	100		
JB	S	6,460,042	10/01/2002	Hitchcock et al.	707	10		
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION								
*Examiner Initial	Cite No.	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
JB	T	EP 0 918 424 A2	05/26/1999	Europe	H04L	29/06		
JB	U	WO 98/04976	02/05/1998	Europe	G06F	13/00		
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)								
*Examiner Initial	Cite No.	Title						
EXAMINER /James Blackwell/ (03/02/2006)				DATE CONSIDERED 03/02/2006				

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Attorney Docket No.: C071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493
APPLICANT: Michael D. Hitchcock et al.
FILING DATE: 11/14/2003
TITLE: — Universal Forms Engine

INFORMATION DISCLOSURE STATEMENT

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Alexandria, VA 22313-1450

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In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being transmitted via First Class Mail with the United States Postal Service to addressee, United States Patent and Trademark Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 8, 2004.

By: Margarita Marquez-Escalona
Name: Margarita Marquez-Escalona

Application No.: 10/714,493
Filing Date: November 14, 2003
Applicant: Michael D. Hitchcock et al.
Information Disclosure Statement dated June 8, 2004
Page 2 of 2

In compliance with MPEP 2001.06(c), applicants wish to inform the Examiner that US Pat. Nos. 6,345,278 and 6,640,042, which issued from applications in the priority chain of the present application, were litigated against ApplyYourself, Inc. (US District Court for the District of Oregon, Civil Docket No. CV-02- 484 HU and CV-02-1359 HU). That case is currently on appeal. (Federal Circuit Appeal No. 04-1202).

During the litigation, the defendant ApplyYourself, Inc. unsuccessfully asserted that the asserted claims of both patents were invalid for obviousness and for on-sale activities by XAP Corporation. ApplyYourself, Inc. withdrew the assertion of invalidity as to US Pat. No. 6,640,042 before submission to the jury and the jury found the asserted claims of US Pat. No. 6,345,278 to be valid. To ensure compliance with their duty of disclosure, with regard to the XAP Corporation activities, applicants hereby provide various documents from the litigation, including trial testimony of Mr. Allen Firstenberg of XAP Corporation, trial and deposition testimony of Mr. Frank Tansey, formerly of Sonoma State University, and trial and deposition testimony of Mr. James L. Maraviglia of California Polytechnic State University. Attached to the Maraviglia deposition as an exhibit is a copy of a Contract No. A960014 between XAP Corporation and the Trustees of the California State University. Other documents produced during the litigation and not sealed by the court are included as items PP, QQ, and RR on the attached form PTO/SB/08B.

Additional documents that are described in the testimony are under seal by order of the court at the insistence of XAP Corporation, which refuses to make the additional documents available for submission to the PTO. The attached Contract No. A960014 was obtained from the State of California through a Freedom of Information Act request.

Applicants also submit a copy of an October 12, 2001 Declaration of James H. Wolfston, Jr., which was submitted in previous applications in the priority chain, describing assignee's ApplyWeb I system. Additional research undertaken for the recent litigation has revealed that the date on which ApplyWeb I system was placed on the Internet was probably closer to July 1996, rather than September 1995. Because the July 1996 date is later, and both dates are before

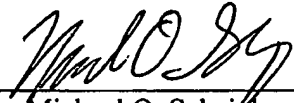
Application No.: 10/714,493
Filing Date: November 14, 2003
Applicant: Michael D. Hitchcock et al.
Information Disclosure Statement dated June 8, 2004
Page 3 of 3

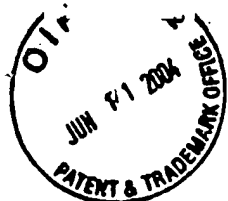
the critical date, applicants submit the error is not material and have not required a new Declaration from the Declarant.

In compliance with MPEP 2001.06(c), applicants also disclose that the patent owner has a pending patent infringement action against XAP Corporation (US District Court for the District of Oregon, Civil Docket No. 03-01229-HU). The patent owner filed, but has since dismissed without prejudice, a patent infringement action against Princeton Review (US District Court for the District of Oregon, Civil Docket No. 03-01231-HU).

Respectfully submitted,

Date: 6/8/04

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2127
Examiner Name	N/A
Attorney Docket Number	C071

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JB	A	US- 6,279,112 B1	08-21-2001	O'Toole, Jr. et al.	
JB	B	US- 6,189,029	02-13-2001	Fuerst	
JB	C	US- 6,141,666	10-31-2000	Tobin	
JB	D	US- 6,122,657	09-19-2000	Hoffman, Jr. et al.	
JB	E	US- 6,058,373	05-02-2000	Blinn et al.	
JB	F	US- 6,035,276	03-07-2000	Newman et al.	
JB	G	US- 5,987,480	11-16-1999	Donohue et al.	
JB	H	US- 5,983,227	11-09-1999	Nasem et al.	
JB	I	US- 5,897,622	04-27-1999	Blinn et al.	
JB	J	US- 5,872,640	02-16-1999	Cohen et al.	
JB	K	US- 5,845,075	12-01-1998	Uhler et al.	
JB	L	US- 5,835,712	11-10-1998	DuFresne	
JB	M	US- 5,819,287	10-06-1998	Tsuoka	
JB	N	US- 5,774,670	06-30-1998	Montulli	
JB	O	US- 5,761,673	06-02-1998	Bookman et al.	
JB	P	US- 5,734,887	03-31-1998	Kingberg et al.	
JB	Q	US- 5,717,923	02-10-1998	Dedrick	
JB	R	US- 5,715,453	02-03-1998	Stewart	
JB	S	US- 5,704,029	12-30-1997	Wright, Jr.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2127
Examiner Name	N/A
Attorney Docket Number	C071

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JB	T	US- 5,563,999	10-08-1996	Yaksich et al.	
JB	U	US- 5,455,948	10-03-1995	Poole et al.	
JB	V	US- 5,410,675W	04-25-1995	Shreve et al.Y	
JB	X	US- 5,367,619	11-22-1994	Dipaolo et al.	
JB	Y	US- 5,325,478	06-28-1994	Shelton et al.	
JB	Z	US- 5,319,542	06-07-1994	King, Jr. et al.	
JB	AA	US- 5,187,787	02-16-1993	Skeen et al.	
JB	BB	US- 5,129,086	07-07-1992	Coyle, Jr. et al.	
JB	CC	US- 5,077,666	12-31-1991	Brimm et al.	
JB	DD	US- 4,992,940	02-12-1991	Dworkin	
JB	EE	US- 4,831,526	05-16-1989	Luchs et al.	
JB	FF	US- 4,799,156	01-17-1989	Shavit et al.	
JB	GG	US- 4,645,873	02-24-1987	Chomet	
JB	HH	US- 6,421,693	07-16-2002	Nishiyama et al.	
JB	II	US- 6,247,029	06-12-2001	Kelley et al.	
		US-			
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/714,493		
		Filing Date	11/14/2003		
		First Named Inventor	Michael D. Hitchcock		
		Art Unit	2127		
		Examiner Name	N/A		
Sheet	3	of	3	Attorney Docket Number	C071

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JB	JJ	S. BING YAO et al., "Formanager: An Office Forms Management System," ACM Transactions on Office Information Systems, Vol. 2, No. 3, July 1984, pages 235-262.	
JB	KK	D. TSICHRITZIS, "Operations and Management-Form Management," Communications of the ACM July 1982, Vol. 25, No. 7, pages 453-478.	
JB	LL	August 30, 2003 Telephonic Deposition of Frank Tansey, CollegeNET, Inc. v. Apply Yourself, Inc., US District Court for the District of Oregon, No. 02-CV-0484-HU	
JB	MM	June 18, 2003 Deposition of James L. Maraviglia, CollegeNET, Inc. v. Apply Yourself, Inc. US District Court for the District of Oregon, No. 02-CV-0484-HU, including exhibits not sealed by the court	
JB	NN	September 2, 2003 trial testimony of Frank Tansey, CollegeNET, Inc. v. Apply Yourself, Inc. US District Court for the District of Oregon, No. 02-CV-0484-HU	
JB	OO	August 28, 2003 trial testimony of Allen Firstenberg, CollegeNET, Inc. v. Apply Yourself, Inc., US District Court for the District of Oregon, No. 02-CV-0484-HU	
JB	PP	"A Proposal for the Development and Operation of CSU TeachNet's Online Admission Application Process." Oct. 28, 1998 (CNA07672-CNA7690)	
JB	QQ	Contract Number A960014 Amendments 4-11, between California State University and XAP Corporation, dated between Feb 3, 1999 to June 25, 2002 (CNA07691-CNA07710) (Original Contract A960014 and amendment 3 included in ref. MM)	
JB	RR	XAP Corporation Press Release, "XAP Corporation Awarded Contract to Develop State of the Art Student Processing System for the Nation's Largest State- College System, Feb. 12, 1997	
JB	SS	August 28, 2003 trial testimony of James L. Maraviglia, CollegeNET, Inc. v. Apply Yourself, Inc., US District Court for the District of Oregon, No. 02-CV-0484-HU	

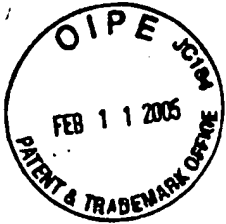
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Attorney Docket No. C071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493 ART UNIT: 2127
APPLICANT(S): 11/14/2003 EXAMINER: N/A
FILING DATE: Michael D. Hitchcock et al.
TITLE: Universal Forms Engine

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On:

February 9, 2005

By:

Margarita M. Escalona

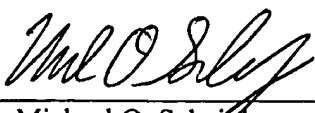
Name: Margarita Márquez-Escalona

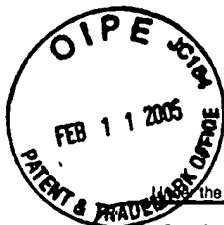
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This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: 2/9/05

By: 
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Telephone: (512) 328-9510
Facsimile: (512) 306-1963



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2127
Examiner Name	N/A
Attorney Docket Number	C071

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		Number-Kind Code ² (if known)			
JB	S	US- 5,410,646	04/25/1995	Tondevoid et al.	
JB	U	US- 5,490,243	02/06/1996	Millman et al.	
JB	C	US- 5,495,565	02/27/1996	Millard et al.	
JB	D	US- 5,666,502	09/09/1997	Capps	
JB	E	US- 5,704,029	12/30/1997	Wright, Jr.	
JB	F	US- 5,745,681	04/28/1998	Levine et al.	
JB	G	US- 5,758,126	05/26/1998	Daniels et al.	
JB	H	US- 5,794,259	08/11/1998	Kikinis	
JB	I	US- 5,845,300	12/01/1998	Comer et al.	
JB	J	US- 5,890,175	03/30/1999	Wong et al.	
JB	K	US- 5,897,622	04/27/1999	Blinn et al.	
JB	L	US- 5,963,952	10/05/1999	Smith	
JB	M	US- 5,987,480	11/16/1999	Donohue et al.	
JB	N	US- 5,999,939	12/07/1999	De Hilster et al.	
JB	O	US- 6,028,938	02/22/2000	Malkin et al.	
JB	P	US- 6,035,276	03/07/2000	Newman et al.	
JB	Q	US- 6,058,373	05/02/2000	Blinn et al.	
JB	R	US- 6,101,482	08/08/2000	DiAngelo	
JB	S	US- 6,199,079	03/06/2001	Gupta et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
Signature

/James Blackwell/ (03/13/2006)

Date
Considered

03/13/2006

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	3
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Complete if Known

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2127
Examiner Name	N/A
Attorney Docket Number	C071

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/James Blackwell/ (03/13/2006)	Date Considered	03/13/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Sheet	3	of	3	Application Number	10/714,493
				Filing Date	11/14/2003
				First Named Inventor	Michael D. Hitchcock
				Art Unit	2127
				Examiner Name	N/A
				Attorney Docket Number	C071

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JB	V	MARTIN R. FRANK and PEDRO SZEKELY, "Adaptive Forms: An Interaction Paradigm for Entering Structured Data," University of Southern California, IUI, 1998, pp. 153-160.	
JB	W	HOSSEIN SAJEDIAN and KA-WING WONG, "An Operational Model for Intelligent Forms in Office Automation," University of Nebraska and Eastern Kentucky University, ACM, 1995, pp. 415-419.	
JB	X	TING-JUN FAN, RONA S. MACHLIN, CHRISTOPHER P. WANG and IFAY F. CHANG, "FormPlus: A Form Authoring Toolkit," IBM T.J. Watson Research Center, IEEE, 1990, pp. 255-260.	
JB	Y	ANTHONY B. DAYAO, KAMRAN RAFIEYAN, PIL J. LEE, RICHARD F. GRAVEMAN, YACOV YACOBI and ERIC J. ADDEO, "SuperForms: A Security-Enhanced Smart Electronic Form Management System," Bellcore, IEEE, 1990, pp. 1079-1083.	
JB	Z	C. S. SANKAR, "A Method to Document Data Entry Forms," Temple University, ACM, 1984, pp. 89-91.	
JB	AA	LAWRENCE A. ROWE and KURT A. SHOENS, "A Form Application Development System," University of California, ACM, 1982, pp. 28-38.	
JB	BB	V. Y. LUM, D. M. CHOY and N. C. SHU, "Opas: An Office Procedure Automation System," IBM Systems Journal, Vol. 21, No. 3, 1982, pp. 327-350.	
JB	CC	D. TSICHRITZIS, "Form Management," ACM, Vol. 25, No. 7, 1982, pp. 453-478.	
JB	DD	"Custom Data Entry Form System for Software Applications," IBM Technical Disclosure Bulletin, April 1992, pp. 1-2.	
JB	EE	"Electronics Forms for the Dynamic Enterprise," JetForm FormFlow, Version 2.15.	

Examiner Signature	/James Blackwell/ (02/21/2006)	Date Considered	02/21/2006
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Attorney Docket No. C071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493

ART UNIT: 2127

EXAMINER: N/A

APPLICANT(S): Michael D. Hitchcock, James H. Wolfston, Jr.,
John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE: November 14, 2003

TITLE: Universal Forms Engine

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is Form PTO SB08A & B with references listed for consideration by the Office. Copies of cited US patents and publications are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission

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Date: October 17, 2003

By: 

Name: Kathy Schoen

that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

A request for reexamination has been filed in related U.S. Patent No. 6,460,042, and assigned reexamination control no. 90/007,706. Applicants also note that related patents are or have been involved in the litigation as shown on the attached litigation search from reexamination control no. 90/007,706.

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

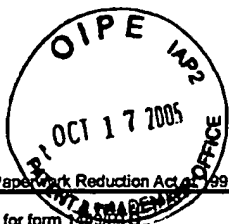
Respectfully submitted,

Date: October 17, 2005

By:



Michael O. Scheinberg
Patent Reg. 36,919
P.O. Box 164140
Austin, Texas 78716-4140
Telephone: (512) 328-9510
Facsimile: (512) 306-1963



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 3

Complete if Known

Application Number	10/714,493
Filing Date	November 14, 2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2127
Examiner Name	N/A
Attorney Docket Number	C071

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JB		US- 6,055,541	04-25-2000	Joseph S. Solecki, et al.	
JB		US- 6,035,119	03-07-2000	Jay Loren Massena, et al.	
JB		US- 5,903,721	05-11-1999	Timothy Siktus	
JB		US- 5,886,693	03-23-1999	Chung-Jen Ho, et al.	
JB		US- 5,884,309	03-16-1999	Steven L. Vanéchanos, Jr.	
JB		US- 5,878,403	03-02-1999	James R. DeFrancesco, et al.	
JB		US- 5,870,473	02-09-1999	Brian Paul Boesch, et al.	
JB		US- 5,802,518	09-01-1998	Isaak Karaev, et al.	
JB		US- 5,784,562	07-21-1998	Glendon Diener	
JB		US- 5,758,324	05-26-1998	Richard L. Hartman, et al.	
JB		US- 5,729,594	03-17-1998	Edwin E. Klingman	
JB		US- 5,671,279	09-23-1997	Taher Elgamal	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/714,493
				Filing Date	November 14, 2003
				First Named Inventor	Michael D. Hitchcock
				Art Unit	2127
				Examiner Name	N/A
Sheet	2	of	3	Attorney Docket Number	C071

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JB	A	BroadVision One-to-One (data unknown)	
JB	B	CyberCash Technology Providers (data unknown)	
JB	C	Getting Started as a CyberCash Merchant: Part 1 (data unknown)	
JB	D	Getting Started as a CyberCash Merchant: Part 2 (data unknown)	
JB	E	MAGDALENA YESIL, Creating the Virtual Store - Taking your web site from browsing to buying, published by John Wiley & Sons, Inc., November 8, 1996.	
JB	F	COLLEGENET, INC., Virginia Tech Describes Web Admissions Application Advantages to Standing Room Only Crowd at AACRAO, published by CollegeNET, Inc., April 20, 1997.	
JB	G	VANECHANOS, JR., Order Entry System for Internet, U.S. Patent No. 5,884,309, March 16, 1999.	
JB	H	SHISHIR GUNDAVARAM, CGI Programming on the World Wide Web, published by O'Reilly & Associates, Inc., March 1996.	
JB	I	JIM ELLIS, Tackling Admission with a PC, published by Business Week, November 11, 1996.	
JB	J	COLLEGENET, INC., New World Speed Record Set for Applying to College, August 28, 1996.	

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number		10/714,493
	Filing Date		November 14, 2003
	First Named Inventor		Michael D. Hitchcock
	Art Unit		2127
	Examiner Name		N/A
	Attorney Docket Number		C071

Sheet 3 of 3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JB	K	DEB RIECHMANN, Now, you can surf the Net into college - Online access helps speed applications to the school of your choice, published by Syracuse Herald-Journal, December 2, 1996.	

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Signature**

/James Blackwell/ (03/02/2006)

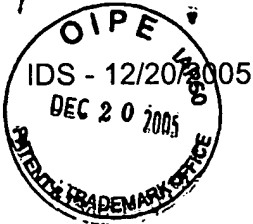
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Considered**

03/02/2006

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Attorney Docket No. C071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493

ART UNIT: 2176

EXAMINER: James H. Blackwell

APPLICANT(S): Michael D. Hitchcock, James H. Wolfston, Jr.,
John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE: November 14, 2003

TITLE: Universal Forms Engine

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Date: December 16, 2005

By:

Name: Kathy Schoen

that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: December 16, 2005

By: 

Michael O. Scheinberg
Patent Reg. 36,919
P.O. Box 164140
Austin, Texas 78716-4140
Telephone: (512) 328-9510
Facsimile: (512) 306-1963

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2
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Complete if Known

Application Number	10/714,493
Filing Date	November 14, 2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C071

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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Substitute for form 1449/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p>				Complete if Known	
				Application Number	10/714,493
				Filing Date	November 14, 2003
				First Named Inventor	Michael D. Hitchcock
				Art Unit	2176
				Examiner Name	James H. Blackwell
Sheet	2	of	2	Attorney Docket Number	C071

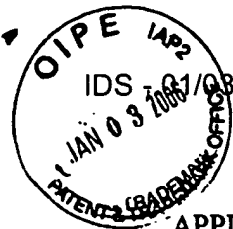
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JB	I	CAPSOFT DEVELOPMENT CORPORATION, "Learning Hot Documents", Copyright 1993, 1994, 1995 and 1996.	
JB	J	ANYFORM FORM SOFTWARE, "AnyForm User's Manual", April 2004	
JB	K	EZX CORPORATION, "EZ-Forms (for Microsoft Windows 95/98/NT4/2000), 1985-1999 by EZX Corporation "The EZ-Forms Automation Company"	
JB	L	XAP Company, Software Product User's Guide 1995, Production Department, Produce Manual	
JB	M	Web Pages from CastleShop Buyers (surfer1 Web Surfer) (dates unknown)	
JB	N	Web Pages from CastleShop Buyers (POsurfer1-857521350) (dates unknown)	
JB	O	Web Pages from SNAP TECHNOLOGIES, INC., 1996, www.CollegeEdge.com	

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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Attorney Docket No. C071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493

ART UNIT: 2176

APPLICANT(S): Michael D. Hitchcock, James H. Wolfston, Jr.,
John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE: November 14, 2003 EXAMINER: James H. Blackwell

TITLE: Universal Forms Engine

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto are 3 sheets of Form PTO SB08B with references listed for consideration by the Office. Copies of cited US patents and publications are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

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On: December 27, 2005

By: Margarita Marquez

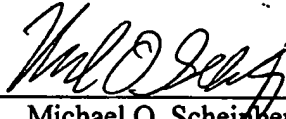
Name: Margarita Marquez-Escalona

Tel: (512) 328-9510

This Information Disclosure Statement is being filed before the mailing of a first Office
action on the merits.

Respectfully submitted,

Date: 12/27/2005

By: 
Michael O. Scheinberg
Patent Reg. 36,919
P.O. Box 164140
Austin, Texas 78716-4140
Telephone: (512) 328-9510
Facsimile: (512) 306-1963



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C071

Sheet	1	of	3
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JB	A	"Technical Support," O'REALLY, O'Reilly & Associates, Inc. www.ora.com	
JB	B	"Website Factsheet," Website 1.1, O'REALLY, O'Reilly & Associates, Inc. www.ora.com	
JB	C	"Choose Quality. Choose Website," O'Reilly & Associates, Inc. www.ora.com	
JB	D	"How to Recognize the Best Value in Web Server Technology," WebSite, O'Reilly & Associates, Inc. www.ora.com	
JB	E	"Before you Start," O'Reilly & Associates, Inc. www.ora.com	
JB	F	"Installing WebSite," WebSite, O'Reilly & Associates, Inc. www.ora.com	
JB	G	"Why Publish with Website?," WebSite, O'Reilly & Associates, Inc. www.ora.com	
JB	H	ROBERT B. DENNY, "WebSite Performance Analysis," WebSite, O'Reilly & Associates, Inc. www.ora.com, 17 April 1995.	
JB	I	"Useful Specifications," WebSite, O'Reilly & Associates, Inc. www.ora.com	
JB	J	"WebSite AP1.1 SDK - Introduction and Overview," WebSite Professional, O'Reilly & Associates, Inc. www.ora.com, revised 13 April 1996.	

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/714,493
				Filing Date	11/14/2003
				First Named Inventor	Michael D. Hitchcock
				Art Unit	2176
Examiner Name	James H. Blackwell				
Sheet	2	of	3	Attorney Docket Number	C071

NON PATENT LITERATURE DOCUMENTS			
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JB	A	"Website API 1.1 SDK - Server Function Descriptions (bind-wsapi)," WebSite Professional, O'Reilly & Associates, Inc. www.ora.com, revised 13 April 1996.	
JB	B	"Website API 1.1 SDK - Server Function Descriptions (later-than)," WebSite Professional, O'Reilly & Associates, Inc. www.ora.com, revised 13 April 1996.	
JB	C	"Website API 1.1 SDK - Server Function Descriptions (send -http-header)," WebSite Professional, O'Reilly & Associates, Inc. www.ora.com, revised 13 April 1996.	
JB	D	"Website API 1.1 SDK - General Information," WebSite Professional, O'Reilly & Associates, Inc. www.ora.com, revised 13 April 1996.	
JB	E	ROBERT B. DENNIS, "Windows CGI 1.3a Interface," WebSite Professional, O'Reilly & Associates, Inc. www.ora.com, version of 18 February 1996.	
JB	F	"O'Reilly Software," O'Reilly & Associates, Inc. www.ora.com	
JB	G	"Poly Form," O'Reilly & Associates, Inc. www.ora.com	
JB	H	"Features - Requirement," PolyForm, O'Reilly & Associates, Inc. www.ora.com	
JB	I	"Here are some forms you can create easily with PolyForm," PolyForm, O'Reilly & Associates, Inc. www.ora.com	
JB	J	"Reviews," WebSite, O'Reilly & Associates, Inc. www.ora.com	

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C071

Sheet 3 of 3

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JB	A	JOHN ROBERT BOYNTON, "Building Interactive Web Forms with PolyForm," PolyForm, O'Reilly & Associates, Inc. www.ora.com	
	B		
	C		
	D		
	E		
	F		
	G		
	H		
	I		
	J		

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493

ART UNIT: 2176

APPLICANT(S): Michael D. Hitchcock, James H. Wolfston, Jr.,
John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE: November 14, 2003 EXAMINER: James H. Blackwell

TITLE: Universal Forms Engine

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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On: January 04, 2006

By: Margarita Marquez-Escalona

Name: Margarita Marquez-Escalona

Tel: (512) 328-9510

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: 1/4/06

By: 

Michael O. Scheinberg
Patent Reg. 36,919
P.O. Box 164140
Austin, Texas 78716-4140
Telephone: (512) 328-9510
Facsimile: (512) 306-1963

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2**Complete if Known**

Application Number	10/714,493
Filing Date	11/14/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C071

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JB	A	US- 5,640,577	06/17/1997	Scharmer	
JB	B	US- 5,450,537	09/12/1995	Hirai et al.	
JB	C	US- 5,404,294	04/04/1995	Kamik	
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			
	N	US-			
	O	US-			
	P	US-			
	Q	US-			
	R	US-			
	S	US-			

FOREIGN PATENT DOCUMENTS						
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
JB	T	EP 0 918 424 A2	05/26/1999			

Examiner Signature	/James Blackwell/ (03/02/2006)	Date Considered	03/02/2006
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		Application Number	10/714,493		
		Filing Date	11/14/2003		
		First Named Inventor	Michael D. Hitchcock		
		Art Unit	2176		
		Examiner Name	James H. Blackwell		
Sheet	2	of	2	Attorney Docket Number	C071

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JB	A	Products & Services, "Entrata," http://www.ncs.com	
	B		
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Examiner Signature	/James Blackwell/ (03/13/2006)	Date Considered	03/13/2006
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